



976.1002

6/16/02  
PH  
#3**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re: Application of: Patrick AURRICHIO, et al.

**RECEIVED**

Serial No.: 09/918,107

NOV 02 2001

Filed: July 30, 2001

**Technology Center 2100**For: **PERFORMANCE INDICATOR AND NOTIFICATION  
SYSTEM****REQUEST FOR CORRECTED FILING RECEIPT**

September 18, 2001

BOX: Application Processing Division's Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respectfully request the following correction be made to the original Filing  
Receipt mailed September 6, 2001, a marked up copy of which is enclosed:

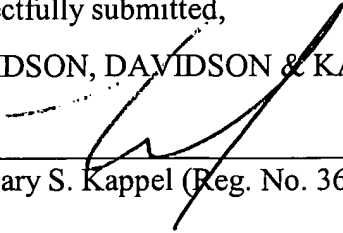
**Atty. Docket No.:** Please amend the docket number to read "976.1002 /  
YOR920010210US1 "

Applicants respectfully request that a corrected filing receipt be issued.

Thank you for your prompt attention to this matter.

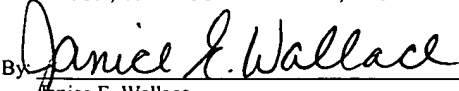
Respectfully submitted,

DAVIDSON, DAVIDSON &amp; KAPPEL, LLC

By:   
Cary S. Kappel (Reg. No. 36,561)

DAVIDSON, DAVIDSON & KAPPEL, LLC  
485 Seventh Avenue, 14<sup>th</sup> Floor  
New York, New York 10018  
(212) 736-1940

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the  
United States Postal Service as "first class mail" in an envelope addressed to Office of Initial Patent Examination's Customer Service  
Center, Washington, DC 20231" on September 18, 2001.  
DAVIDSON, DAVIDSON & KAPPEL, LLC

By:   
Janice E. Wallace

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, DC 20231



*Receipt*  
Docket No.: 976.1002  
Date: September 18, 2001

In re application of: Patrick AÜRRICHIO, et al.  
Serial No.: 09/918,107  
Filed: July 30, 2001  
For: PERFORMANCE INDICATOR AND NOTIFICATION SYSTEM

Sir:

Transmitted herewith is a **Request for Corrected Filing Receipt** in the above-identified application.

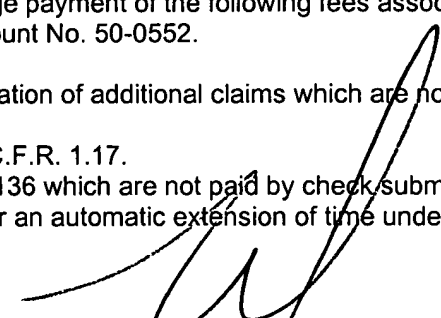
- ☐ Small entity status under 37 C.F.R. 1.9 and 1.27 has been previously established.  
☐ Applicants assert small entity status under 37 C.F.R. 1.9 and 1.27.  
☒ No fee for additional claims is required.  
☐ A filing fee for additional claims calculated as shown below, is required:

	(Col. 1)	(Col. 2)		SMALL ENTITY		LARGE ENTITY
FOR:	REMAINING	HIGHEST		RATE	FEE	OR RATE FEE
	AFTER	PREVIOUSLY	PRESENT			
	AMENDMENT	PAID FOR	EXTRA			
TOTAL CLAIMS	* Minus**	=	0	x \$ 9	\$	x \$ 18 \$
INDEP. CLAIMS	* Minus***	=	0	x \$ 40	\$	x \$ 80 \$
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM				+ \$135	\$	+ \$270 \$

TOTAL: \$ OR TOTAL: \$

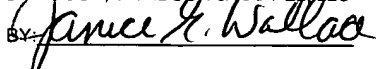
- \* If the entry in Co. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space.

- ☒ Also transmitted herewith are:  
☐ Petition for extension under 37 C.F.R. 1.136 (in duplicate)  
☒ Other: **Copy of USPTO Filing Receipt**
- ☐ Check(s) in the amount of \$.00 is/are attached to cover:  
☐ Filing fee for additional claims under 37 C.F.R. 1.16  
☐ Petition fee for extension under 37 C.F.R. 1.136  
☐ Other:
- ☒ The Assistant Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0552.
- ☒ Any filing fee under 37 C.F.R. 1.16 for the presentation of additional claims which are not paid by check submitted herewith.  
☒ Any patent application processing fees under 37 C.F.R. 1.17.  
☒ Any petition fees for extension under 37 C.F.R. 1.136 which are not paid by check submitted herewith, and it is hereby requested that this be a petition for an automatic extension of time under 37 CFR 1.136.

  
 Cary S. Kappel, Reg. No. 36,561  
 DAVIDSON, DAVIDSON & KAPPEL, LLC  
 485 Seventh Avenue, 14<sup>th</sup> Floor  
 New York, New York 10018  
 Tel: (212) 736-1940  
 Fax: (212) 736-2427

I hereby certify that this correspondence and/or documents referred to as attached therein and/or fee are being deposited with the United States Postal Service as "first class mail" in an envelope addressed to "Assistant Commissioner for Patents, Washington, D.C. 20231" on September 18, 2001.

DAVIDSON, DAVIDSON & KAPPEL, LLC

BY: 



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/918,107	07/30/2001	2151	1340	976.1002/	1	55	3

YOK 92001 0210451

CONFIRMATION NO. 2781

Davidson, Davidson & Kappel, LLC  
485 Seventh Avenue  
New York, NY 10018

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FILING RECEIPT



\*OC000000006517191\*

Technology Center 2100

Date Mailed: 09/06/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Patrick Aurichio, Mohegan Lake, NY;  
Wayne S. Balta, Pittsburg, PA;  
Aaron H. Cobb JR., Danbury, CT;  
Edan T. Dionne, Ridgefield, CT;  
Ravi S. Kuchibhotla, Bethel, CT;  
Mitch E. Meyers, Manassas, VA;  
Thomas D. Morris, Scarsdale, NY;  
Carole L. Perrello, Monroe, NY;  
Gayle Woodside, Austin, TX;

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SEP 10 2001

DAVIDSON, DAVIDSON &amp; KAPPEL

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 09/05/2001

Projected Publication Date: 01/30/2003

Non-Publication Request: No

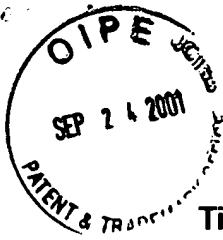
Early Publication Request: No

Title

Performance indicator and notification system

Excel on 9/13 PCM on 9/13  
7/30/02 Convention Year Ends  
1/30/02 Reminder  
9/24/01 Send Reporting Letter  
CMD/CSK/SJM





**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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**Technology Center 2100**

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**NOT GRANTED**

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**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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